



FINANSIËLE ADVISEURS • FINANCIAL ADVISORS

Authorised Financial Services Provider

FSP 12406

2 De Vloer
5 St Georges
St Georges Mall
KAAPSTAD 8001
Tel: (W) (021) 4193134
Faks: (W) (021) 4193390

2nd Floor
5 St Georges
St Georges Mall
CAPE TOWN 8001
Tel: (W) (021) 4193134
Fax: (W) (021) 4193390

Dear Client

LETTER OF INTRODUCTION & DISCLOSURES

In complying with the FAIS legislation, I would like to bring the following information to your attention:

My name is **Johannes Hendrik Jordaan (Jorrie)** and my contact details are stated on this letterhead.

I am employed by **Martin Eksteen Jordaan Wessels cc**, an authorised financial services provider, which accepts responsibility for my activities and is, licensed to render financial services, FSB licence number **12406**.

Core to our business philosophy and practice is treating clients fairly in our service delivery.

We subscribe to the following principles:

- Fair treatment of clients in rendering advice and charging fees.
- Ensuring products and services meet clients' objectives.
- Keeping clients informed about situations that may materially affect the outcome of advice and suitability of products.
- Suitability of advice considering the clients' unique goals and needs.
- Striving to meet clients' expectations in advice and service delivery.
- Ease of transactions and communication.

I have been providing financial advice and intermediary services since 1995, in the following areas of financial planning: **Death and Disability Planning; Retirement Planning; Investment Planning; Estate Planning**

I am authorised to provide advice and intermediary services in the following categories:

Category 1

- 1.1 Long-Term Insurance: Category A
- 1.3 Long-Term Insurance: Category B1
- 1.20 Long-Term Insurance: Category B2
- 1.4 Long-Term Insurance: Category C
- 1.5 Retail Pension Benefits
- 1.7 Pension Fund Benefits (excluding Retail Pension Benefits)
- 1.14 Participatory Interests in Collective Investment Schemes
- 1.17 Deposits as defined in the Banks Act – exceeding 12 months
- 1.18 Deposits as defined in the Banks Act – 12 months or less

A copy of the licence is available for inspection on request.

My brokerage has written authority to market the products of the following product suppliers and I am accredited to market their products:

ABSA Investment Management Services, Allan Gray, Coronation, Discovery Invest, Glacier, Investec Asset Management, Investec Bank Corporate Cash Manager, Marriott Asset Management, Momentum Life, Momentum Wealth, Nedbank Corporate Saver, Nedgroup Investments, Old Mutual, Liberty Life, Prescient Asset Management, Prudential Asset Management, Sanlam

I **did not** received more than 30% of my last year's commission and remuneration from one product supplier.

I **do not hold** more than 10% of the shares issued by any product supplier.

I am remunerated for my services by being paid commission **and or by charging fees, for specific services rendered, that will be fully disclosed before hand.**

I **do** hold professional indemnity insurance.

I **do** hold fidelity cover for any business conducted with Nedbank Corporate Saver and Investec Bank Corporate Cash Manager.

Compliance with the FAIS Act is monitored by Masthead Distribution Services (Pty) Ltd, a compliance practice approved by the Financial Services Board. Their postal address is PO Box 856, Howard Place, 7450. Their contact numbers are 021 686 3588(t) and 021 686 3589(f)

I am a proud member of the Masthead Financial Advisors Association, which provides me with services such as a compliance, practice management and technology support. This support helps me to provide you with a more professional service. The compliance service enables my practice to be compliant with FAIS legislative requirements. Through the practice management support I am able to run a more professional business and therefore able to provide you with an improved service and enhanced support.

Please note that in accordance with legislation we keep an updated disclosure register. This register informs you, our client of all financial and ownership interests that I/ we may become entitled to and lists the business relationships that I have with the product suppliers. This document ensures transparency in my/our dealings with our customers and is available for inspection.

I wish to advise that all information obtained or acquired about you shall remain confidential unless you provide written consent, or unless I am required by any law to disclose such information.

In the event that you are dissatisfied with any aspect of my service, you should address your complaint in writing to me at the above address. A copy of my Complaints Resolution Policy is available on request.

Yours faithfully



Jorrie Jordaan